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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/607,605	06/30/2000	Kia Silverbrook	NPA065US	8541

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SILVERBROOK RESEARCH PTY LTD
393 DARLING STREET
BALMAIN, 2041
AUSTRALIA

EXAMINER

FILIPCZYK, MARCIN R

ART UNIT	PAPER NUMBER
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2171

DATE MAILED: 05/21/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/607,605

Applicant(s)

SILVERBROOK ET AL.

Examiner

Marc R Filipczyk

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 April 2004.
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-114 is/are pending in the application.
4a) Of the above claim(s) 4-7 and 30-33 is/are withdrawn from consideration.
5) ☐ Claim(s) _____ is/are allowed.
6) ☒ Claim(s) 1-3, 8-29 and 34-114 is/are rejected.
7) ☒ Claim(s) 1-3, 8-29 and 34-45 is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
10) ☒ The drawing(s) filed on 30 June 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: _____.

DETAILED ACTION

This Action is responsive to Applicant's response filed on April 6, 2004 (paper # 12). Claims 1-3, 8-29 and 34-114 remain for examination and claims 4-7 and 30-33 also remain cancelled.

Priority

Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

Specification

The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

Claim Objections

Claims 1-3, 8-29 and 34-45 are objected to because of the following informalities:

Regarding claims 1 and 27, the segment, "form including printed coded data indicative of an identity of the form and of at least one location on the form" is not descriptive. The coded data should be further defined in the claim to clarify the preamble.

Regarding claims 2, 3, 8-26, 28, 29 and 34-45 depend from 1 and 27 respectively and therefore contain the deficiencies of those claims.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-3, 8-18, 22-25, 27-29, 34-41, 43, 44, 46-57, 61-64, 66-74, 76, 77, 79-92, 96-99, 101-110, 112 and 113 are rejected under 35 U.S.C. 103(a) as being unpatentable over Escallon (U.S. Patent No. 5,799,157) in view of Suda et al (U.S. Patent No. 6,157,465).

Regarding claims 27, 29, 39, 44, 61, 66, 72, 73, 77, 101, 109 and 113, Escallon discloses a method and system for enabling access to travel services, the system including (see title) a form containing information relating to a travel service transaction, the form including coded data indicative of an identity of the form and of at least one reference point of the form, the method including: (fig. 1, 140 and, col. 5, lines 49-54, Escalloon)

(Note: reference point of a form refers to any location on the form)

a computer system for receiving indicating data (fig. 1, block 200, Escallon) from a sensing device (fig. 1, 100) for identifying at least one parameter relating to the travel service transaction, the indicating data being indicative of the identity of the form and a position of the sensing device relative to the form (fig. 1, 110), the sensing device sensing the indicative data using at least some of the coded data (fig. 1, 110, Escallon); and

identifying in the computer system and from the indicating data, at least one parameter relating to the travel service transaction (fig. 1, block 142 Escallon), but does not teach the sensing device is a handheld device including a marking nib.

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However, Suda discloses a system for transferring jobs between processing units for which he uses an (e-pen) marking device (fig. 6, 605, Suda). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to have used an e-pen in Escallon system as used in Suda system to enter data into forms without using a keyboard. The motivation for one of ordinary skill in the art to use an e-pen would have been the convenience and flexibility of entering data to fill out forms without the use of a keyboard.

Regarding claim 28, Escallon/Suda disclose one parameter relating to the travel service transaction is associated with at least one zone of the form (col. 5, lines 49-57, Escallon).

Regarding claims 34, 67 and 104, Escallon/Suda disclose at least one parameter of the travel service transaction is selected from a group comprising an action parameter of the travel services transaction (fig. 3, blocks 301, 320, 330, Escallon).

(Note: fulfilling transaction requests)

Regarding claims 35-38, 68-71 and 105-108, Escallon/Suda disclose multiple parameters of travel service transactions (see col. 3, lines 1-14, Escallon).

Regarding claims 41, 74 and 110, Escallon/Suda disclose all of the claimed subject matter as discussed above with respect to claim 27 including improvements over more traditional methods such as the use of printed media (see col. 1, lines 39-47, Escallon).

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Regarding claims 43, 76 and 112, Escallon/Suda disclose a database for keeping a retrievable record of each form generated, each form being retrievable by using its identity as included in its coded data (col. 4, lines 26-40, Escallon).

Regarding method claims 1-3, 8-18, 22-25, 46-57, 62-64, 79-92 and 96-99 contain same subject matter as the system claims respectively and therefore are rejected on the same basis under 35 U.S.C. 103(a) as the claims above in combination over Escallon (U.S. Patent No. 5,799,157) in view of Suda et al (U.S. Patent No. 6,157,465).

Claims 19-21, 26, 42, 45, 58-60, 65, 75, 78, 93-95, 100, 111 and 114 are rejected under 35 U.S.C. 103(a) as being unpatentable over Escallon (U.S. Patent No. 5,799,157) in view of Suda et al (U.S. Patent No. 6,157,465) further in view of Wilz Sr. et al (U.S. Patent No. 5,992,752).

Regarding claims 19-21, 26, 42, 45, 58-60, 65, 75, 78, 93-95, 100, 111 and 114, Escallon/Suda disclose all of the claimed subject matter as discussed above with respect to claims 1, 27, 46, 66, 79, 101 and 114, but do not expressly teach printing forms.

However, Wilz discloses an internet based system for enabling information-related transactions over the internet (title, Wilz) in which printing of coded transactions takes place (fig. 1, item 39, Wilz). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to print part coded forms in Escallon/Suda system as done in

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Wilz by using a printer in conjunction with a computer. One of ordinary skill in the art would have been motivated to print forms to retain a physical copy of a transaction for record.

Response to Arguments

Applicant's arguments filed on April 6, 2004 have been fully considered but they are not persuasive. The Applicant's arguments of the 4/6/2004 response and Examiners answers are listed below.

Argument 1: (page 25)

Escallon does not disclose or fairly suggest a form including data indicative of the identity of the form and a position of a sensing device relative to the form.

Examiner disagrees. Escallon disclosed coded forms (see abstract, lines 12 and 13). Further, electronic forms contain coded data as the form's identifier (see fig. 1 of Escallon). The electronic book 100 keeps track of all the electronic forms 140. Last, the "position" of a sensing device is known therefore the sensing of the coded data can take place.

Argument 2: (page 25)

Claim 1 states that the sensing device receives indicating data regarding the position of the sensing device relative to the form. The client computer 100 of Escallon does not perform that function.

Examiner disagrees. The Examiner relies for the disputed limitation of claim 1 on elements 100, 110 and 200 (see rejection, fig. 1). The communication link 110 senses and

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identifies data, then receives information from the system 100 and transfers it to the main system 200.

Argument 3: (page 25)

Suda's controller 605 is very different from the sensing device of the present device.

Examiner disagrees. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). Escallon/Suda teach the sensing device of the present device wherein Suda's pen performs the functions of Escallon's communication link 110 in addition to its regular functions.

Argument 4: (page 26)

Wilz does not teach or fairly suggest the printed forms of claim 1.

Examiner disagrees. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). Escallon/Suda teach coded forms according to the claimed invention and Escallon/Suda in view of Wilz teach printing the coded forms (see rejection).

With respect to all the pending claims 1-3, 8-29 and 34-114, Examiner respectfully traverses Applicant's assertion based on the discussion and rejection cited above.

Conclusion

To expedite the process of examination Examiner requests that all future correspondences in regard to overcoming prior art rejections or other issues (e.g. 35 U.S.C. 112, objections and the like) set forth by the Examiner that Applicants provide and link to the most specific page and line numbers of the disclosure where the best support is found (see 35 U.S.C. 132).

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Marc R Filipczyk whose telephone number is 703-305-7156. The examiner can normally be reached on Mon-Fri, 8am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic can be reached on 703-308-1436. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MF

May 18, 2004


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